



Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 7-80) PATENT AND TRADEMARK OFFICE		ATTORNEY DOCKET NO.: 01173.0015U3		SERIAL NO. 09/855,170		
LIST OF PRIOR ART CITED BY APPLICANT (Use several sheets if necessary)		APPLICANT: Paul M. Lizardi				
		FILING DATE: May 14, 2001		GROUP: Unassigned		
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS SUBCLASS	FILING DATE IF APPROPRIATE
Dec	A1	6,087,133	07/11/00	Dattagupta et al.		
FOREIGN PATENT DOCUMENTS						
OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)						
EXAMINER: <i>Paul M. Lizardi</i>		DATE CONSIDERED: 03/04/2005				
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Form PTO-1449
U.S. DEPARTMENT OF COMMERCE (Rev. 7-80)
PATENT AND TRADEMARK OFFICE

LIST OF PRIOR ART CITED BY APPLICANT
(Use several sheets if necessary)

ATTORNEY DOCKET NO.: 01173.0015U3

SERIAL NO. 09/855,170
CONFIRMATION NO. 8924

APPLICANT: Lizardi

FILING DATE: May 14, 2001

GROUP: 1641

U.S. PATENT DOCUMENTS

**EXAMINER
INITIALS**

DOCUMENT
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SUBCLASS

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APPROPRIATE**

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6,124,120

Sep. 26, 2000

Lizardi

82

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Aug. 28, 2001

Lizardi

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FOREIGN PATENT DOCUMENTS

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<p>Substitute for form 1449A/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>(use as many sheets as necessary)</p>		Complete if Known	
		Application Number	09/396,346 09/855,170
		Filing Date	September 15, 1999 May 14, 2001
		First Named Inventor	Paul M. Lizardi
		Group Art Unit	4643
		Examiner Name	
Sheet 1 of 16	Attorney Docket Number	YU 125 25006.0006US	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	US Patent Document		Name of Patentee or Applicant of Cited Document	Date of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
VBC		4,748,111		Dattagupat, et al.	05-31-1988	
		4,883,750		Whiteley, et al.	12-28-1989	
		4,965,188		Mullis, et al.	10-23-1990	
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		5,629,158		Uhlen	04-13-1997	
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		5,714,320		Kool	02-03-1998	
		5,733,733		Auerbach	03-31-1998	
		5,854,033		Lizardi	12-29-1998	

Examine Signature		Date Considered	03/04/2005
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		Filing Date	September 15, 1999 May 14, 2001
		First Named Inventor	Paul M. Lizardi
		Group Art Unit	1643
		Examiner Name	
Sheet 2 of 16	Attorney Docket Number	YU 125-25006-0001.13	

FOREIGN PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Office ²	Number ²	Kind Code ³ (if known)		
NGC		JP	4-262799	A		09-18-1992
		JP	4-304900	A		10-28-1992
		AU	84173/91 B		Syngene, Inc.	02-18-1992
		EP	0128 332	A1	Enzo Biochem, Inc.	12-19-1984
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		EP	0505 012	A2	F. Hoffmann-La Roche AG	09-23-1992
		EP	0667 393	A2	Enzo Diagnostics, Inc.	08-16-1995
		EP	0678 582	A1	Becton Dickinson and Co.	10-25-1995
		WO	91/08307	A1	Microprobe Corporation	06-13-1991
		WO	92/01813	A1	Syngene, Inc.	02-06-1992
		WO	94/24312	A2	Beckman Instruments, Inc.	10-27-1994
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		WO	95/22623	A1	Landegren	08-24-1995
		WO	95/25180	A1	Gen-Probe Inc.	09-21-1995
		WO	95/35390	A1	Mount Sinai School of Medicine of the City University of New York	12-28-1995
		WO	96/33207	A1	Glaxo Group Limited	10-24-1996
		WO	97/19193	A2	Yale University	05-29-1997
		WO	97/42346	A1	Tepnel Medical Ltd.	11-13-1997

Examine Signature	<i>Richard H. Schultz</i>	Date Considered	03/04/2005
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		Filing Date	September 16, 1999 May 14, 2000
		First Named Inventor	Paul M. Lizardi
		Group Art Unit	1843
		Examiner Name	
Sheet 3 of 16	Attorney Docket Number	YU 125-2500-000643	

OTHER ART - NON PATENT LITERATURE DOCUMENTS			
Examiner's Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
Kce		ABRAVAYA, et al., "Detection of point mutations with a modified ligase chain reaction (Gap-LCR)," <i>Nucleic Acids Res.</i> 23(4):675-682 (1995).	
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Examiner's Signature	<i>Paul M. Lizardi</i>	Date Considered	05/04/2005
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	09/996,348 09/855,170
		Filing Date	September 16, 1999 May 14, 2001
		First Named Inventor	Paul M. Lizardi
		Group Art Unit	1643-
		Examiner Name	
Sheet 4 of 16	Attorney Docket Number	XU 426 25006.0001643	

OTHER ART - NON PATENT LITERATURE DOCUMENTS			
Examiner's Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
See		BOEHMER & LEHMAN, "Herpes Simplex Virus Type 1 ICP8: Helix-Destabilizing Properties," <i>Journal of Virology</i> 67(2):711-715 (1993).	
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		Application Number <u>09/396,340</u> <u>09/855,170</u>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Filing Date	<u>September 16, 1999</u> <u>May 14, 2001</u>
		First Named Inventor	<u>Paul M. Lizardi</u>
		Group Art Unit	<u>4643</u>
		Examiner Name	
Sheet	<u>5</u>	of	<u>16</u>
		Attorney Docket Number	<u>YU 125 25006.000643</u>

OTHER ART - NON PATENT LITERATURE DOCUMENTS			
Examiner's Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
<u>ACE</u>		DYNAL, <u>Biomagnetic Techniques in Molecular Biology</u> , 1995.	
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		HACIA, et al., "Detection of heterozygous mutations in BRCA1 using high density oligonucleotide arrays and two-color fluorescence analysis," <i>Nature Genetics</i> 14:441-447.	

Examiner's Signature	<u>[Signature]</u>	Date Considered	<u>03/04/2005</u>
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		Filing Date	September 45, 1999 May 14, 2001
		First Named Inventor	Paul M. Lizardi
		Group Art Unit	1643
		Examiner Name	
Sheet 6 of 16	Attorney Docket Number	TU 123 25D06 0006013	

OTHER ART - NON PATENT LITERATURE DOCUMENTS

Examiner's Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
NCC		HAGIWARA, et al., "Quantitation of hepatitis C Virus RNA in Serum of Asymptomatic Blood Donors and Patients with Type C Chronic Liver Disease," <i>Hepatology</i> 17(4):545-550 (1993).	
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Examiner's Signature	<i>Keith A. Calvert</i>	Date Considered	03/09/2005
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Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	09/396,340 09/855,170
		Filing Date	September 16, 1999 May 14, 2001
		First Named Inventor	Paul M. Lizardi
		Group Art Unit	1843
		Examiner Name	
Sheet 8 of 16	Attorney Docket Number	YU-125 25006.0006U3	

OTHER ART - NON PATENT LITERATURE DOCUMENTS			
Examiner's Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
Hec		KOOL, et al., "Circular oligonucleotides: New concepts in oligonucleotide design," <i>Annu. Rev. Biophys. Biomol. Struct.</i> 25:1-28 (1996).	
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Examiner's Signature	<i>Luella H. Clark</i>	Date Considered	03/04/2008
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	09/996,340 09/955,170
		Filing Date	September 15, 1999 May 14, 2001
		First Named Inventor	Paul M. Lizardi
		Group Art Unit	4643
		Examiner Name	
Sheet 9 of 16	Attorney Docket Number	YU 123 25006.000643	

OTHER ART - NON PATENT LITERATURE DOCUMENTS			
Examiner's Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
NAC		LIU, et al., "Rolling circle DNA synthesis: Small circular oligonucleotides as efficient templates for DNA polymerases," <i>J. Am. Chem. Soc.</i> 118:1587-1594 (1996).	
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Examiner's Signature		Date Considered	03/04/2005
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		Application Number	09/990,340 09/855,70		
		Filing Date	September 16, 1999 May 14, 2001		
		First Named Inventor	Paul M. Lizardi		
		Group Art Unit	1643		
Examiner Name					
Sheet	10	of	16	Attorney Docket Number	YU 125 25006-000643

OTHER ART - NON PATENT LITERATURE DOCUMENTS			
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Hpc		MCCRAY, et al., "A new approach to time-resolved studies of ATP-requiring biological systems: Laser flash photolysis of caged ATP," <i>Proc. Natl. Acad. Sci. USA</i> 77:7237-7241 (1980).	
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Examiner's Signature	<i>Keith A. Calvert</i>	Date Considered	03/04/2005
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		Application Number	09/396,340 09/855,170
		Filing Date	September 15, 1999 May 14, 2001
		First Named Inventor	Paul M. Lizardi
		Group Art Unit	1643
		Examiner Name	
Sheet 11 of 16	Attorney Docket Number	W0125 25006-000403	

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Hce		NIKIFOROV, et al., "The Use of Phosphorothioate Primers and Exonuclease Hydrolysis for the Preparation of Single-stranded PCR Products and their Detection by Solid-phase Hybridization," <i>PCR Methods and Applications</i> 3: 285-291 (1994).	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	09/390,340 09/855,170
		Filing Date	September 15, 1999 May 14, 2001
		First Named Inventor	Paul M. Lizardi
		Group Art Unit	1643
		Examiner Name	
Sheet 12 of 16	Attorney Docket Number	YU 125 25006.000605	

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ML		PRAKASH & KOOL, "Structural effects in the recognition of DNA by circular oligonucleotides," <i>J. Amer. Chem. Soc.</i> 114:3523-3527 (1992).	
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		Filing Date	September 15, 1999 May 14, 2000
		First Named Inventor	Paul M. Lizardi
		Group Art Unit	1649
		Examiner Name	
Sheet 13 of 16	Attorney Docket Number	44125 25006-0006.03	

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fee		SCHENA, et al., "Quantitative Monitoring of Gene Expression Patterns with a Complementary DNA Microarray," <i>Science</i> 270:467-470 (1995).	
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Examiner's Signature		Date Considered	03/04/2005
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		Group Art Unit	4643
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Sheet 14 of 16	Attorney Docket Number	YU 125 25006.0004U3	

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KGC		STUDIER, et al., "Use of T7 RNA Polymerase to Direct Expression of Cloned Genes," <i>Methods in Enzymology</i> 185: 60-89 (1990).	
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		VOGELSTEIN, et al., "Supercoiled loops and eucaryotic DNA replicaton," <i>Cell</i> . 22(1 Pt 1):79-85 (1980).	

Examiner's Signature		Date Considered	03/04/2001
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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known	
		Application Number	09/396,348 09/855,170
		Filing Date	September 15, 1999 May 14, 2001
		First Named Inventor	Paul M. Lizardi
		Group Art Unit	4643
		Examiner Name	
Sheet 15 of 16	Attorney Docket Number	YU 125-25006, P00443	

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WAL		WAGGONER, "Covalent labeling of proteins and nucleic acids with fluorophores," <i>Meth. Enzymology</i> 246:362-373 (1995)	
		WALKER & LINN, "Detection of Mycobacterium tuberculosis DNA with thermophilic strand displacement amplification and fluorescence polarization," <i>Clin Chem.</i> 42(10):1604-8 (1996).	
		WALKER, et al., "Strand displacement amplification--an isothermal, in vitro DNA amplification technique," <i>Nucleic Acids Res.</i> 20(7):1691-6 (1992).	
		WALTER & STRUNK, "Strand displacement amplification as an in vitro model for rolling-circle replication: deletion formation and evolution during serial transfer," <i>Proc Natl Acad Sci U S A.</i> 91(17):7937-41 (1994).	
		WANSINK, et al., "Fluorescent Labeling of Nascent RNA Reveals Transcription by RNA Polymerase II in Domains Scattered Throughout the Nucleus," <i>Journal of Cell Biology</i> 122(2): 283-293 (1993).	
		WIEDMANN, et al., "Ligase Chain Reaction (LCR) - Overview and Applications," <i>PCR Methods and Applications</i> (Cold Spring Harbor Laboratory Press, Cold Spring Harbor Laboratory, NY, 1994) pages S51-S64.	
		WINN-DEEN, et al., "Non-radioactive detection of Mycobacterium tuberculosis LCR products in a microtitre plate format," <i>Molecular and Cellular Probes</i> (England) 7(3):179-186 (1993).	
		YOUNG & ANDERSON, "Quantitative analysis of solution hybridisation," <i>Nucleic Acid Hybridisation: A Practical Approach</i> pages 47-71 (IRL Press, 1985).	
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		ZEHAVI, et al., "Light sensitive glycosides. I. 6-Nitroveratryl β-D-Glucopyranoside and 2-Nitrobenzyl β-D-Glucopyranoside," <i>J. Organic Chem.</i> 37:2281-2288 (1972).	

Examiner's Signature	<i>Keith R. Chute</i>	Date Considered	03/04/2005
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	09/996,340 09/855,70
		Filing Date	September 15, 1999 May 14, 2001
		First Named Inventor	Paul M. Lizardi
		Group Art Unit	1649
		Examiner Name	
Sheet 16 of 16	Attorney Docket Number	YU 125 25006-000643	

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See		ZHU & ITO, "Purification and characterization of PRD1 DNA polymerase," <i>Biochimica Biophysica Acta</i> 1219(2): 267-276 (1994).	
See		ZIJDERVELD & VAN DER VIET, "Helix-Destabilizing Properties of the Adenovirus DNA-Binding Protein," <i>Journal of Virology</i> 68(2):1158-1164 (1994).	

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				Application Number	09/990,340 09/855,170
				Filing Date	September 13, 1999 May 14, 2001
				First Named Inventor	Paul M. Lizardi
				Group Art Unit	1643
				Examiner Name	
Sheet	1	of	1	Attorney Docket Number	YU-125- 25006-0006U3

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Office ²	Number ³	Kind Code ⁴ (if known)			
Hae		EP	0 640 691	A2	Becton Dickinson and Co.	03-01-1995	
		WO	96/00795	A2	Kalyx Biosciences, Inc.	01-11-1996	
		WO	97/20948	A1	Koch	06-12-1997	
		WO	98/39485	A2	The Regents of the University of Michigan	09-11-1998	

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Hae		UNRAU & DEUGAU, "Non-cloning amplification of specific DNA fragments from whole genomic DNA digests using DNA 'indexers'" <i>Gene</i> 145:163-9 (1994).	
Hae		WHITE, et al., "Concatemer chain reaction: A Taq DNA polymerase-mediated mechanism for generating long tandemly repetitive DNA sequences," <i>Analytical Biochemistry</i> 199:184-90 (1991).	
Hae		ZHANG, et al., "Amplification of target-specific, ligation-dependent circular probe," <i>Gene</i> 211:277-85 (1998).	

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